

DECISION

*Reg. no* STYR 2022/1157*Date* 16 June 2022

Vice-Chancellor

## **Appointment of authorised officials for receiving and following up reports of irregularities etc.**

### **Background**

In accordance with the Act on protection of persons who report irregularities (2021:890) (Whistleblowing Act), Lund University is to put in place documented internal reporting channels and procedures for receiving and processing reports of irregularities, referred to as whistleblowing cases.

The Whistleblowing Act applies to the reporting in a work-related context of information on irregularities for which there is a public interest that they come to light, or which contravene specified EU legislation.

The University, in the decision on internal reporting channels and procedures for reporting and following up irregularities, STYR 2022/1158, has described its formulation for the processing of reports of irregularities.

Pursuant to Chapter 5, Section 5 of the Whistleblowing Act, the University is to appoint persons or units authorised, on behalf of the University, to:

- receive reports and have contact with the reporting persons,
- follow up what had been reported, and
- provide feedback on the follow-up to the reporting persons.

Secrecy applies according to Chapter 32, Section 3b and Chapter 17, Section 3b of the Public Access to Information and Secrecy Act in

follow-up cases or in cases that are based on such a case regarding information that can reveal the reporting person's or another specific person's identity. This entails that information covered by secrecy is to be processed by authorised persons or units.

In light of the variation in actions that may be reported and that processing follows specific legislation, the authorised officials need to represent a range of expertise. The task of managing the receiving and following up of reports will therefore be divided between several officials in order for processing to function as well as possible. In view of the secrecy that applies, the number of officials should not be more than necessary.

## Decision

The University decides to appoint the following officials and units as authorised pursuant to Chapter 5, Section 5 of the Act on protection of persons who report irregularities (2021:890) as of 17 July 2022.

General counsel

Legal Division within the Legal Services and Records Management  
Division

Director of HR

Deputy director of HR

Finance director

Chief security officer

In the performance of this task, the appointed officials are to be independent and autonomous in initiating and concluding follow-up cases, following up what has been reported and formulating conclusions from such follow-ups.

The general counsel, director of HR, finance director and chief security officer are to be responsible for receiving reports. Once a report has been received, these officials decide amongst themselves how the report is to be processed and allocate the task, according to needs, within the group of officials or others, depending on the case in question.

The university director is to be informed if a report of an irregularity has been received that the authorised officials deem as being covered by the Whistleblowing Act.

In each follow-up case, authorised officials are to be watchful for situations that may constitute conflict of interest and in the event of a risk of conflict of interest immediately report to the university director if one or several authorised officials are prevented from participating in a follow-up case.

Processing and reporting of follow-up cases are to be presented to the university director in accordance with the administrative procedure decided by the university director.

The authorised officials have the right to make a request to the university director concerning the need for support in the investigation, expert competence or specific expertise to assist in the follow-up.

The decision on this matter was taken by the undersigned Deputy Vice-Chancellor in the presence of Deputy University Director Torun Forslid after a consultation with a representative of the Lund University Students' Unions and after a presentation by Labour Law Counsel, Kristine Widlund, HR Division.

Kristina Eneroth

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